Region-of-Interest Based Conversational HEVC Coding with Hierarchical Perception Model of Face

Mai Xu, Member, IEEE, Xin Deng, Student Member, IEEE, Shengxi Li, Student Member, IEEE, and Zulin Wang, Member, IEEE

Abstract—In this paper, we propose a region-of-interest (ROI) based HEVC coding approach for conversational videos, with a novel hierarchical perception model of face (HP model), to improve the perceived visual quality of state-of-the-art HEVC standard. In contrast to the previous ROI-based video coding approaches, this novel HP model allows the unequal importance of facial features (e.g., the eyes and mouth) within the facial region, by generating a pixel-wise weight map. Benefitting from such a perception model, the adaptive coding tree unit (CTU) partition structure is developed to alleviate the encoding complexity of HEVC, without any degradation of the visual quality in facial regions, especially in the regions of facial features. Subsequently, for the rate control in HEVC a weight-based unified rate-quantization (URQ) scheme, instead of the conventional pixel-based URQ scheme, is proposed to adaptively adjust the value of quantization parameter (QP). Such an adaptive adjustment of QPs is capable of allocating more bits to the face/facial features with respect to our HP model, and as a result, the visual quality of face, in particular facial features, can be enhanced for conversational HEVC coding. Finally, the experimental results show that the perceived visual quality of our approach is greatly improved, with even less encoding time, for conversational video coding on the HEVC platform.

Index Terms-HEVC, perceptual video compression, teleconferencing, rate distortion.

I. INTRODUCTION

▼ OWADAYS, plenty of conversational video products, such as FaceTime, are flooding into our lives, facilitating the visual communications for humans. On the other hand, the past decade has witnessed a great evolution of ever-increasing video resolutions and screen display sizes. Accordingly, the conversational videos, in particular at high resolutions, are causing the bandwidth bottleneck. Fortunately, High Efficiency Video Coding (HEVC) standard [1], also called H.265, has been formally established, to provide higher compression efficiency for supporting such bandwidth-hungry applications.

Manuscript received September 14, 2013; revised January 20, 2014; accepted March 25, 2014. Date of publication April 02, 2014; date of current version May 13, 2014. This work was supported by the National Science Foundation of China (NSFC) under Grant 61202139 and the China 973 program under Grant 2013CB329006. The guest editor coordinating the review of this manuscript and approving it for publication was Prof. Karen Egiazarian.

The authors are with the School of Electronic and Information Engineering, Beihang University, Beijing, 100191 China (e-mail: maixu@buaa.edu.cn; cindydeng1991@gmail.com; shengxili2014@gmail.com; wzulin@buaa.edu. cn).

Color versions of one or more of the figures in this paper are available online at http://ieeexplore.ieee.org.

Digital Object Identifier 10.1109/JSTSP.2014.2314864

With the flexible picture partition, parallel coding and some other cutting-edge techniques, HEVC has eminent compression performance, much better than the preceding H.264/AVC standard [2].

Nevertheless, high resolution video delivery, especially to meet low bit-rates of mobile devices, still poses the great challenging problem of compression efficiency for HEVC. In fact, there still remains much perceptual redundancy in HEVC, since human attentions do not focus on the whole scene, but only a small region of fixation called region-of-interest (ROI) region. For example, it has been found out in [3] that humans normally perceive clearly a small region of 2-5° of the visual angle. Thereby, perceptual video coding [4] provides an efficient solution towards lower bit-rate video coding, which keeps acceptable distortion in ROI regions, but at the expense of some visual quality degradation in non-ROI regions.

Recently, there has been a growing interest in perceptual video coding [5]–[7]. More specifically, Lee and Bovik [5] proposed to use an eye tracker to obtain the fixation points as ROI regions, for the earlier H.263 standard. However, it is impractical to have the eye tracker available during the video encoding process. Automatic ROI region extraction, based on the perception model of human visual system (HVS), is thus the key issue for perceptual video coding. Intuitively, the important cue for the perception model in conversational video coding is extracting faces as ROI regions. Then, a perceptual rate control scheme [6] was proposed to reduce the quantization parameter (QP) values of skin regions in H.263, with a block-wise sensitive weight map of the conversational scene. Afterwards, a novel resource allocation method [7] was proposed for H.264/AVC standard to optimize the subjective rate-distortion-complexity performance of conversational video coding, by improving the visual quality of facial regions exploited with the skin-tone algorithm [8]. Unfortunately, to our best knowledge, the existing perceptual video coding approaches have yet to be sophistically developed for the latest HEVC standard. Besides, most of these approaches merely focus on low resolution videos, such as CIF videos (resolution: 352×288), and they are not specifically designed for high resolution videos. In general, the existing perceptual approaches on video coding are neither suitable for the HEVC standard nor the video services with high resolutions.

In this paper, we propose a novel perceptual approach for conversational HEVC coding, in order to improve its perceived visual quality, especially at high resolutions. On one hand, as seen from Fig. 1, the number of pixels representing each facial feature, such as eyes and mouth, in an HD video is comparable with





(c)





(d)



Fig. 1. (a) and (b): The original conversational video sequences of *Akiyo* and *Yan* (only showing one random frame) with the resolutions being 352×288 and 1920×1080 , respectively. (c): The face (4810 pixels) detected from (a). (d): The face (99896 pixels) obtained from (b). (e): The eyes (58 pixels), nose (248 pixels) and mouth (241 pixels) extracted from (a). (f): The eyes (1828 pixels), nose (5186 pixels) and mouth (4508 pixels) extracted from (b). Note that the face and facial features are extracted using the method of Section III-B. (a) *Akiyo* (CIF), (b) *Yan* (1080p HD), (c) Extracted face of CIF video, (d) Extracted face after the face of HD video.

that representing the whole face in a CIF video. On the other hand, it is intuitive that the facial features are the noticeable regions in a face to attract human attentions. It is thus desirable that the visual quality of facial features is superior to other ROI regions in conversational HEVC coding. However, we find out that the rate-distortion performance of the regions of facial features, compressed by HEVC, is even worse than other regions. To overcome such a drawback, in addition to the enhancement of facial quality, the proposed approach in this paper further improves the visual quality of facial features for conversational HEVC coding.

The basic philosophy of this paper is twofold: (1) The pixel-wise weight maps of conversational video are yielded, constrained by a hierarchical perception model of face (HP model); (2) coding tree unit (CTU) structure and QPs are then adaptively adjusted on the basis of the pixel-wise weight maps, to allow the unequal importance during video coding. The main contributions of this paper are listed in the following.

• We analyze the rate-distortion performance of conversational HEVC coding in the regions of background, face, and facial features, for illustrating the necessity of our work. Then, we propose an HP model, which indicates the unequal importance of facial features, non-facial-features, and background¹ with a pixel-wise weight map. Towards such a perception model, an extraction method of face and facial features is presented in light of the face alignment algorithm [9].

- We develop an adaptive CTU partition structure to reduce encoding complexity of conversational HEVC coding. Intrinsically, the CTU partition structure introduced by HEVC improves the rate-distortion performance, but at the cost of computational complexity. In our approach, given the pixel-wise weight maps, the CTUs of facial regions have to be partitioned in details through setting large maximum depths for largest coding units (LCU), to maintain the perceived visual quality. Then, rough partitions are applied to other CTUs with small maximum LCU depths, thus reducing a great deal of encoding complexity in HEVC.
- We propose a rate control scheme using the pixel-wise weight maps upon our HP model. Generally speaking, the core of our scheme is to allocate more bits to ROI regions, i.e., face and facial features, by utilizing the weightbased unified rate-quantization (URQ) scheme, instead of the conventional pixel-based URQ scheme. Beyond, the perceived visual quality of conversational HEVC coding can be improved, with the visual quality of ROI regions enhanced at different levels according to the pixel-wise weight maps.

The outline of this paper is given as follows. In Section II, we briefly review the previous work on perceptual video coding. In Section III, the details of the proposed HP model are discussed. Based on Section III, Section IV develops an adaptive CTU partition structure for HEVC, which is capable of decreasing its encoding complexity. Afterwards, Section V proposes a weight-based URQ scheme to improve the visual quality of ROI regions in conversational HEVC coding. Finally, Section VI shows some experimental results and Section VII concludes this paper.

II. PREVIOUS WORK

For several years, there has been a great deal of interest in perceptual video coding [4]. Generally speaking, the main idea of perceptual video coding is increasing the coding efficiency via removing the perceptual redundancy. That is, it imposes high priority on ROI regions while allowing more distortion in non-ROI regions. Therefore, the perceptual video coding involves two major parts: perception model with ROI regions and video coding implementation upon the ROI regions.

For the perception model, many methods have emerged in perceptual video coding. At the beginning, human-machine interaction methods [5], [10]–[12] were adopted to obtain ROI regions for the perception model of video coding. For example, in 1990s, Kortum and Geisler [10] developed a real-time multiresolution system, which utilizes an eye tracker to record the

¹Non-facial-features are defined as the regions of face excluding the facial features. Background, as non-facial-regions, is defined as the regions of whole scene except the face.

foveation points of a human observer on the receiver and then applies a corresponding foveation filter in video coding of the sender. Later, several advanced approaches on using the eye tracker for video coding have been proposed [5], [11], [12]. However, it is hard to implement such approaches due to the fact that an eye-tracking apparatus is normally unavailable at the receiver. From the perspective of psychology, many approaches on the perception model for video coding [13]-[16] have been proposed to predict which regions in a video can attract human attentions, according to HVS mechanisms. A representative work is the saliency-based attention prediction [15], in light of Itti-Koch attention model [17], for detecting ROI regions in perceptual video coding. Such an approach produces a guidance map to locate ROI regions with the top salient values in a video, and on the basis of the guidance map, a bit allocation scheme varies the QP value of each block for video coding. Since the study on HVS is still in progress, it is rather difficult to incorporate the HVS mechanisms into perceptual video coding. Evidently, human face is an important cue [18] for perceptual video coding, especially under conversational scenarios. Thereby, many approaches [6], [7], [19]-[21] define faces as the ROI regions for conversational video coding. Actually, this kind of perception model is very effective in conversational video applications, as it benefits from the recent success of face detection [22] in computer vision community. However, the above perception model does not consider the facial features as more important regions, and these facial features in HD conversational videos usually take up a great number of pixels. In order to achieve better perceived visual quality, these facial features, in particular for HD videos, need to be endowed more importance.

For video coding implementation, the previous approaches were developed in dichotomy: either pre-processing or embedded encoding. Preprocessing approaches [10], [16], [23]–[25] are straightforward, as they directly reduce the unimportant information of input video by applying a non-uniform distortion filter in a scene. For example, [16] divides a scene into foreground and background, and then the background, as the non-ROI region, is blurred with a filter (i.e., more distortion is imposed) to save some bits for video coding. Besides, imitating human vision, a foveation filter was applied in [23] to increase the blurring effect along with the distance between the considered pixel and the eye fixation point. Obviously, the advantage of the preprocessing approaches is that they are independent of the existing video coding standards and they are thus easily applied. However, these approaches introduce the blurring effects in non-ROI regions, which produce the obvious degradation of visual quality in those regions. Embedded encoding approaches [6], [7], [19], [26], [27] have been developed to increase the bit allocation in ROI regions by reducing their corresponding QP values, thereby improving the perceived visual quality of video coding. For the earlier H.261 standard, two quantizers were developed in [19]. The facial regions, as ROI regions, are allocated more target bits through adjusting these two quantizers. For H.263, a perceptual rate control scheme [6] was proposed, in combination with the local perceptual cues, to improve the visual quality of skin regions in a conversational video. For H.264/AVC, a novel source allocation method [7] was proposed to enhance the subjective rate-distortion-complexity performance of conversational video coding. However, to our best knowledge, there is no perceptual encoding implementation for the latest HEVC standard [1].

In this paper, we propose an approach on perceptual video coding, embedded on the state-of-the-art HEVC standard. Such an approach is based on a novel HP model, and it is capable of improving the visual quality of ROI regions (including facial features and non-facial-features) to obtain better perceived visual quality, with even less encoding complexity.

III. HIERARCHICAL PERCEPTION MODEL OF FACE

The study on perceptual mechanisms of HVS has a long way to go yet. It is still intractable to precisely identify the ROI regions for perceptual video coding. Fortunately, we have an important cue for the perception model in conversational video coding, i.e., considering the face as ROI regions. First, we investigate in Section III-A the rate-distortion performance of different regions in conversational HEVC coding. With the investigation results, we argue that the HP model is indeed necessary. In Section III-B, we propose an HP model, which is in accord with the perceptual mechanisms of HVS. At last, pixel-wise weight maps are generated to impose the unequal importance on each pixel in a conversational video.

A. The Necessity of Hierarchical Perception Model of Face

Admittedly, HEVC, as the latest video coding standard, has improved rate-distortion performance a lot in comparison with the preceding H.264/AVC. However, it still has some undesirable defects. In fact, HEVC adopts a similar coding structure as H.264/AVC. This results in a challenge resembling H.264/ AVC, that is the ill-suited bit-allocation problem which may lead to unsatisfactory visual perception performance. It is therefore worth investigating the visual quality of different regions in conversational HEVC coding.

Here, we tested the rate-distortion characteristic of the conversational HEVC coding on four conversational video sequences²: *Akiyo* (CIF), *Foreman* (CIF), *Simo* (1080P HD), and *Yan* (1080P HD). We applied HM 9.0 software [28] of HEVC to compress each video sequence, using the default pixel-based URQ scheme [29] for rate control. The parameter settings are to be presented in Section VI-A. In addition, the algorithm for automatic extraction of face and facial features is to be presented in Section III-B. Examples of the extraction results can be seen in Fig. 1.

Now, we examine the changes of Y-PSNRs under a range of bit-rates to investigate the rate-distortion performance of HEVC. Since the regions of face, especially facial features, usually attract most of human attentions, they are the key regions for investigation. The average Y-PSNRs of the whole region, background, face, and facial features at various bit-rates are plotted in Fig. 2. As can be seen there, it is obvious that the average Y-PSNRs of face are smaller than those of the whole region and background for nearly all video sequences at different bit-rates, except the *Foreman* sequence at high bit-rates. This result reveals the necessity of the previous work on the

²Since there is no standard HD conversational video sequence available, we captured four raw HD video sequences using the method described in Section VI-A.



Fig. 2. The rate-distortion performance of the whole region, background, face, nose, eyes and mouth for four conversational videos compressed by HEVC with its default pixel-based URQ scheme for rate control. (a) Akiyo (CIF), (b) Foreman (CIF), (c) Yan (1080p HD), (d) Simo (1080p HD).

perceptual video coding [6], [7]. It can be further observed from Fig. 2 that the average Y-PSNRs of the eyes and mouth are much lower than the face/whole region. Such results trigger our work on the HP model, which further splits the facial region into several subregions (e.g., the eyes and mouth) and then assigns unequal importance weights to them.

B. The Proposed Hierarchical Perception Model of Face

From now on, we mainly focus on the HP model. As aforementioned, it is necessary to further decompose face into several facial features and non-facial-features. Note that non-facial-features are defined as the regions of face excluding the facial features. Towards such a decomposition, the face and its facial features can be extracted using the procedure of Fig. 3. As shown in this figure, after face detection [22], several key feature points are located in the video by combining the local detection and global optimization together. Next, the contours of the face and its facial features are achieved via connecting the related feature points. Finally, the regions of the face and facial features are extracted upon their contours. Indeed, the detection of feature points is a key issue for extracting the regions of face and facial features. Benefiting from the most recent success on computer vision, we employ a real-time face alignment method [9] to track the feature points in face. An example of the detection results can be seen in Fig. 3. In the following, we briefly review the work of the face alignment method [9].

For global optimization, the point distribution model (PDM) of key feature points needs to be coined before tracking these

points in a video. Assume that $\{\mathbf{p}_t\}_{t=1}^T$ is the set of 2D coordinates of each key feature point. Then, the PDM is able to parameterize the deformable face on the basis of mean positions $\{\bar{\mathbf{p}}_t\}_{t=1}^T$ by

$$\mathbf{p}_t = s\mathbf{R}(\bar{\mathbf{p}}_t + \mathbf{\Phi}_t \mathbf{q}) + \mathbf{u},\tag{1}$$

with the parameters of scale *s*, rotation **R**, translation **u**, and a set of non-rigid parameters **q**. In (1), $\{\Phi_t\}_{t=1}^T$ is the set of candidates of non-rigid facial variation. Seeing the PDM of (1) as the *prior*, we can select the key feature points from PDM to favor the locally detected feature points in each video frame, using the least-square fit:

$$\min_{\mathbf{p}_t} \sum_{t=1}^T \|\mathbf{p}_t - \mathbf{p}_t'\|^2, \tag{2}$$

where \mathbf{p}'_t denotes the coordinates of the *t*th feature point output by the local feature detector. \mathbf{p}'_t can be obtained via mean-shift algorithm [30]. After iterating over mean-shifted update (computing \mathbf{p}'_t) and PDM parameterization (computing \mathbf{p}_t) until convergence, feature points $\{\mathbf{p}_t\}_{t=1}^T$ can be finally output. For more details, see [9]. In this paper, we utilize 66-point PDM [9] to extract the contours of face and facial features. See Fig. 3 for an example. As seen from this figure, the 66-point PDM can well sample the key points of face and facial features, and accordingly these points can be connected to precisely extract the contours and regions for face and facial features. Therefore, the





Fig. 4. The proposed hierarchical perception model of face for the conversational video.

66-point PDM is applied in our approach to extract face and facial features.

Next, based on the extracted face and facial features, the HP model is developed, as illustrated in Fig. 4. It can be seen from this figure that the whole region of each conversational video frame is decomposed hierarchically into several subregions, belonging to three perceptual layers. In this hierarchy, the background and face are separated at the second layer. Different from the conventional approaches [6], [7], the face is further decomposed into several facial features and non-facial-features at the third layer. Moreover, each node in Fig. 4 is associated with a weight for its importance. The values of importance weights of nodes are determined by HVS and the rate-distortion performance of different regions compressed by HEVC. To be more specific, the study of HVS [31] has shown that the eyes and mouth, in particular eyes, attract much more eye fixation points than nose and non-facial-features, when humans look at a conversational scene. Besides, we also used a Tobii T60 eye tracker to identify eye fixation points over several conversional video



Fig. 5. The pixel-wise weight map for Fig. 1-(b).

clips, and we recorded the eye fixation points of 12 observers over 18 conversational video clips, with 30 seconds per video clip. From the recorded results, we can see that nose and non-facial-features draw similar amount of human attentions, much less than eyes and mouth³. However, the visual quality of eyes and mouth is inferior in comparison with nose and non-facialfeatures, as presented in the above subsection. Therefore, zero weight is set for nose and non-falcial-features, and larger weight (= 3) is assigned to eyes and mouth.

Then, HP model is worked out to obtain the pixel-wise weight map, which indicates the varying importance of different regions in a conversational scene. In the HP model, each pixel in a video frame falls into one leaf node, and the importance weight

³We have put the detailed eye-tracking results online: http://www.ee.buaa.edu.cn/xumfiles.

	1	1	2	2	2	2	3 3	3 3	3 3	3 3	1		
0	1	T	2	2	2	2	2		3	3	-		
	1	1	2	2			2		3	3	2	3	3
			2	2	1	1		,	3 3		2	3	3
(a)	(b)			(c))			-		- (d)	-	-

Fig. 6. Example of CTU partition structure, which divides an LCU (size: 64×64) into several CUs with different sizes. Note that in (a), (b), (c), and (d) each block indicates a CU and the number inside a block stands for its depth. (a) Maximum depth: 0/1/2/3, (b) Maximum depth: 1/2/3, (c) Maximum depth: 2/3, (d) Maximum depth: 3.

of a pixel can be computed by summing up the weights of its leaf node and all the corresponding root nodes. For example, if a pixel belongs to nose, the weight of its leaf node is 0 and the weights of its root nodes, i.e., face at layer 2 and whole region at layer 1, are both 1. Therefore, the weight of the pixel belonging to nose is 2. This way, the pixel-wise weight map can be produced using the HP model upon the extracted face and facial features. We assume that the weights are $\{w_n\}_{n=1}^N$ in a weight map for a video frame with N pixels. Here, one example of the pixel-wise weight map is shown in Fig. 5 (the upper one).

Finally, according to HVS [15], the pixel-wise weight map can be refined via introducing Gaussian model (GM) to the weights of pixels around eye fixation point, i.e., regions with large weights. We define Δd_n as the distance of *n*th pixel to the edge of the nearest facial feature (but not falling into it). Assume that v_i is the weight of the node for the *i*th facial feature⁴ in the HP model; σ_i is the standard deviation for the decay of v_i around contour of the *i*th facial feature. Then, the weights of pixels around each facial feature can be updated with GM, by adding the following Gaussian increment:

$$\Delta w_n = v_i e^{-\frac{1}{2} \left(\frac{\Delta d_n}{\sigma_i}\right)^2},\tag{3}$$

into their original weights. Note that only weights of the pixels around eyes and mouth are updated, due to their corresponding $v_i > 0$. After GM refining, the pixel-wise weight map can be output and then used for the ROI-based video coding discussed in the following sections. Fig. 5 (the bottom one) shows an example of the pixel-wise map refined by GM.

IV. ROI-BASED ADAPTIVE CTU PARTITION STRUCTURE FOR HEVC

In this section, we present a novel ROI-based adaptive CTU partition structure for HEVC, based on the HP model above. In Section IV-A, we first review the conventional CTU partition structure employed in HEVC, as the foundation of the proposed adaptive CTU partition structure. Then, in Section IV-B, we provide the detailed information about the ROI-based adaptive CTU partition structure.

A. The CTU Partition Structure in HEVC

One of the most significant contributions in HEVC is the CTU partition structure. It has been pointed out [32] that the size of 16×16 macroblocks in H.264/AVC standard is too monotonous

⁴In Fig. 4, i = 1 represents eyes node: $v_1 = 3$; i = 2 represents mouth node: $v_2 = 3$; i = 3 represents nose node: $v_3 = 0$.



Fig. 7. The procedure of subdividing an LCU into CUs with different depths. Note that the "further split" is conducted on the CUs from the last splitting.

to adapt to video content at different resolutions, which may contain large smooth areas or small specific details. By contrast, the CTU partition structure of HEVC can offer more flexible block sizes, ranging from 64×64 to 8×8 , thus suitable for both smooth and detailed regions. To be more specific, there are four splitting depths in HEVC, i.e., from 0 to 3, for dividing an LCU into several coding units (CUs) at different levels. In each LCU, four equally sized CUs may be recursively partitioned with different depths. Then, each CU can be used as the basic unit for both intra-coding and inter-coding. An example of splitting an LCU into CUs with different sizes is shown in Fig. 6. From this figure, it can be seen that even though the LCU is allowed to be divided into small CUs given the same maximum depth, not all of them can go there. The determination process of LCU splitting is shown in Fig. 7. From this figure, it can be seen that an LCU can be divided into CUs at different depths. The condition for further splitting is that the rate-distortion cost of the current CU is larger than the sum of the cost of its four split CUs. Only the CUs satisfying such a condition can be further split to reach a larger depth. Note that the maximum depth of an LCU may be achieved after several iterations of further splitting.

The CTU partition structure is capable of improving the ratedistortion performance of HEVC, owing to the flexible block partition, as discussed above. However, it consumes an enormous amount of computational time when splitting each LCU into CUs, because of the computation on the rate-distortion cost of each possible CU. Fortunately, HEVC offers the optional setting of the maximum LCU depth, to which the depths of all CUs cannot exceed. This may significantly reduce encoding complexity. For instance, only the partitions of Fig. 6(a) and (b) are allowed once the maximum LCU depth is chosen to be 1. Then, only the first two splitting steps of Fig. 7 need to be conducted in CTU partition structure, thus gaining a great deal of encoding time.

In fact, most of the time, the maximum LCU depth does not need to be very large, especially for non-ROI regions. According to the HVS, the detailed information is not necessary in non-ROI regions. Whether the depth is large or small for CUs in non-ROI regions, therefore, has little effect on the whole perceived visual quality, while small depth is able to save a lot of computational time. We may make constraint on the maximum LCU depths in non-ROI regions to reduce the encoding complexity. We discuss the specific algorithm by proposing our ROI-based adaptive CTU partition structure in the next subsection.

B. The Proposed ROI-Based Adaptive CTU Partition Structure

As aforementioned, one feasible way to reduce the encoding complexity of conversational HEVC coding is assigning different maximum depths to the LCUs of different regions, according to their relative importance. In other words, the less important the LCU is, the smaller depth it is assigned with. It has been previously discussed that the pixel with larger weight implies that it is relatively more important. Here, we define by λ_j the average weight of the *j*th LCU, and it can be calculated on the basis of the weight map of Section III-B using

$$\lambda_j = \frac{1}{M} \sum_{n \in \mathbf{n}_j} w_n,\tag{4}$$

where n_j means the set of pixel indices in the *j*th LCU of a video frame, and M is the number of pixels in the LCU.

After calculating the values of $\{\lambda_j\}_{j=1}^J$ for all J LCUs of a video frame, their maximum depths $\{z_j\}_{j=1}^J$ can be obtained with the following equation:

$$z_{j} = \begin{cases} 1 & \text{if } \lambda_{j} \leq \theta_{1} \\ 2 & \text{if } \theta_{1} < \lambda_{j} \leq \theta_{2} \\ 3 & \text{if } \lambda_{j} > \theta_{2}. \end{cases}$$
(5)

In (5), θ_1 and θ_2 are the thresholds that determine the maximum depth of each LCU in accordance with its average weight. These two thresholds imply the trade-off between the computational complexity and visual quality. For instance, the great values of thresholds θ_1 and θ_2 may reduce the computational complexity as z_j for most LCUs falls into 1 or 2. However, the small values of z_j lead to the rough CTU partition structure, and it is possible to cause the quality degradation, even in the vital regions of facial features.

Here, we show in Fig. 8 an example for the map of maximum LCU depths. As observed from this figure, the regions of eyes



Fig. 8. Example of maximum LCU depths output by our adaptive CTU partition structure for the video frame of Fig. 1-(b). They are obtained using the weight map of Fig. 5. Each block stands for a 64×64 block, except the blocks of the last row. The sizes of LCUs in the last row are 56×64 so that their depths are 3. The intensities of each block mean the maximum LCU depths.

and mouth have the largest maximum LCU depths, followed by other facial regions, and the background has the smallest maximum LCU depths. Finally, our CTU partition structure can be adaptive to the pixel-wise weight map through (5).

V. WEIGHT-BASED URQ SCHEME FOR RATE CONTROL IN HEVC

In this section, we describe the details of the proposed rate control scheme, namely weight-based URQ scheme, for HEVC. Our weight-based URQ scheme allocates different amounts of bits to the LCUs according to their importance. To make the description more concrete, we first overview in Section V-A the conventional pixel-based URQ scheme of HEVC. Then, we present in Section V-B how the visual quality of face, in particular facial features, is improved, by proposing our weight-based URQ scheme.

A. Overview of the Pixel-Based URQ Scheme

The key issue of rate control in video coding is computing QPs⁵, which can minimize the distortion of a compressed video at a given bit-rate. However, there exists a chicken and egg dilemma between the actually generated bits and QPs. In order to solve this dilemma, a quadratic pixel-based URQ scheme [29] has been incorporated in HEVC to calculate QPs, based on the predicted target bits and image complexity before actual encoding. It is important to recognize that such a rate control scheme is developed at pixel level. As such, it can be easily applied in bit allocation at group of pictures (GOP), frame, and unit levels. Since our work mainly deals with the unit level rate control, the following reviews the pixel-based URQ scheme from the aspect of unit level.

At unit level, the URQ scheme needs to estimate QPs for each LCU, given the target bit budget T assigned to this frame. From now on, let us look at the method of computing QPs for each LCU in the pixel-based URQ scheme. Assume that the predicted mean absolute difference (MAD) for the *j*th LCU is MAD_{pred,j}, which indicates the image complexity. Then, given target bits T_j for the *j*th LCU, its QP (denoted as QP_j) can be estimated by solving the quadratic equation:

$$\frac{T_j}{M} = a \cdot \frac{\text{MAD}_{\text{pred},j}}{\text{QP}_j} + b \cdot \frac{\text{MAD}_{\text{pred},j}}{\text{QP}_j^2}, \tag{6}$$

⁵A small value of QP yields the small quantization step (QS) so that more bits can be generated for high quality video.

where a and b are the first-order and second-order parameters of URQ model, which can be updated by a linear regression method [33] after encoding each frame. Recall that M is the number of pixels in each LCU. Then, after solving (6), QP_j can be obtained as

$$QP_{j} = \frac{a \cdot MAD_{pred,j} + \sqrt{a^{2} \cdot MAD_{pred,j}^{2} + 4b \cdot MAD_{pred,j} \cdot \frac{T_{j}}{M}}}{\frac{2T_{j}}{M}}.$$
(7)

Then, the only task left for estimating QP_j is to determine target bits T_j for each LCU. T_j is related to two factors: the buffer status and the actual remaining bits, with the following equation:

$$T_j = \beta \cdot \hat{T}_j + (1 - \beta) \cdot \tilde{T}_j, \tag{8}$$

where \tilde{T}_j represents the target bits based on the buffer status for each LCU; \hat{T}_j stands for the target bits upon the remaining bits for each LCU. For more details of obtaining \tilde{T}_j , see [29]. Besides, β is a parameter to compromise the effects of the buffer status and remaining bits on computing T_j . In [29], β is set to 0.5.

Next, towards the estimation of T_j , we focus on the way of obtaining target bits \hat{T}_j by introducing the term of bit per pixel (bpp). Before the *j*th LCU is encoded, its bpp needs to be updated by

$$bpp_j = \frac{B_j}{N_j}.$$
(9)

In (9), N_j denotes the number of remaining unencoded pixels before encoding the *j*th LCU. B_j is the target bits for encoding the *j*th LCU and its subsequent LCUs. Prior to encoding the *j*th LCU, B_j has to be updated by $B_{j-1} - A_{j-1}$, where A_{j-1} denotes the actually generated bits of the (j - 1)th LCU. Here, B_j is initialized to be *T* as the budget for total target bits of the current frame when encoding its first LCU. Following the renewed B_j and N_j , bpp_j can be updated by (9). Then, \hat{T}_j can be obtained on the basis of bpp_j:

$$\tilde{T}_j = bpp_j \cdot M. \tag{10}$$

Finally, with estimated T_j , rate control can be achieved via outputting QPs by turns, upon (7) for each LCU. Besides, to avoid the sudden change of QPs, the pixel-based URQ scheme adds the following boundaries to smooth QP_i for each LCU:

$$QP_{j} = \max\{QP_{avg,j} - 2, \min\{QP_{avg,j} + 2, QP_{j}\}\}, \quad (11)$$

where $QP_{avg,j}$ means the average value of the neighboring (already encoded) QPs of the *j*th LCU.

However, since the above pixel-based URQ scheme does not take the unequal importance of each pixel into consideration, it wastes a lot of bits on encoding non-ROI regions, e.g., background, to which humans pay less attention. Therefore, we put forward the weight-based URQ scheme in the next subsection, taking account of the pixel importance.

B. The Proposed Weight-Based URQ Scheme

It can be seen above that bpp is a crucial term in the pixelbased URQ scheme. However, bpp does not consider any pixel importance, from the viewpoint of human perception. As presented in Section III-B, the pixel-wise weight maps, indeed, can provide us with the insightful information about the pixel importance. So, we propose a new term, bit per unit weight (bpw), in light of our HP model, for the weight-based URQ scheme. Naturally, before encoding a video frame with N pixels, the bpw can be determined by

$$bpw = \frac{T}{\sum_{n=1}^{N} w_n},$$
(12)

where w_n represents the weight of the *n*th pixel and *T* is the target bit budget of the current frame in total. With the new term bpw, the rate control can be worked out, to be presented subsequently.

At the beginning, prior to encoding a frame, target bit budget T of this frame needs to be estimated by [29], and in our weightbased URQ scheme T has to be separated into two portions for the face and background. Assuming that T' and T'' are the target bit budgets for the face and background, we can obtain the following:

$$T' + T'' = T$$

$$T'' = \frac{\sum_{n \in \mathbf{n}''} w_n \text{bpw}}{c \sum_{n \in \mathbf{n}'} w_n \text{bpw}} \cdot T'$$
(13)

where n'' denotes indices of the background pixels, the weights of which are equivalent to 1; n' means indices of the facial pixels, the weights of which are larger than 1. In (13), c is a parameter balancing the bits assigned to face and background. Then, the target bit budgets of the face and background can be obtained by

$$T' = \frac{c \sum_{n \in \mathbf{n}'} w_n}{c \sum_{n \in \mathbf{n}'} w_n + \sum_{n \in \mathbf{n}''} w_n} \cdot T,$$
 (14)

$$T'' = \frac{\sum_{n \in \mathbf{n}''} w_n}{c \sum_{n \in \mathbf{n}'} w_n + \sum_{n \in \mathbf{n}''} w_n} \cdot T.$$
(15)

Next, let us look at how to assign the target bits to each LCU. First, the LCUs have to be classified into two categories: either background or face, according to the average weight of each LCU. For the LCUs of background, our weight-based scheme is reduced to the conventional pixel-based URQ scheme since the weights of each pixel in the background are equivalent to 1. Therefore, the pixel-based URQ scheme is directly utilized to allocate target bits to each background LCU, using target bit budget T''. For the LCUs of face, we need to update their bpws by rewriting (9) as

$$bpw_{j} = \frac{B'_{j}}{\sum_{n \in \mathbf{n}'^{j}} w_{n}},$$
(16)

where B'_j and $\mathbf{n'}^j$ define the total target bits and the set of pixel indices, respectively, for the *j*th and its subsequent facial LCUs. Note that B'_j is initialized to be T', when encoding the first LCU of the facial region. Afterwards, B'_j needs to be updated for the following LCUs of the face, with the method similar to calculating B_j in Section V-A. Different from the bpp in (9), bpw is able to incorporate the weights of pixels given the HP model.

Then, the target bits for each facial LCU, with respect to the remaining bits, can be written as

$$\hat{T}'_j = \sum_{n \in \mathbf{n}_j} w_n \cdot \mathrm{bpw}_j.$$
(17)

Recall that n_j is the set of pixel indices in the *j*th LCU. Clearly, the facial features can be emphasized, since more target bits are allocated through (17) with high values of w_n in the regions of facial features.

Finally, similar to (8), the target bits can be estimated by $0.5 \cdot (\hat{T}'_i + \hat{T}'_i)$, where \hat{T}'_i is the target bits regarding the buffer status. Then, the rate control at unit level is achieved in our weight-based URQ scheme, by outputting QPs for all LCUs with (7). Note that the same as the pixel-based URQ scheme, our scheme iterates over the steps of estimating QPs and updating target bits, for encoding each LCU in the scan order. The main difference between our and pixel-based URQ [29] schemes is the step of updating target bits, in which our scheme introduces a novel term bpw, instead of the conventional bpp, to cater for the proposed HP model. After setting the target bits in accordance with the HP model, QP values may be reduced in the regions of face, especially facial features. With the reduced QPs, our scheme is able to adaptively increase the number of actual bits in ROI regions to satisfy the HVS. As such, the pixel importance can be finally taken into account for the rate control in conversational HEVC coding.

Furthermore, in order to smooth the QPs obtained above, we need to rewrite (11) to set the QP boundaries by

$$QP_{j} = \max\{QP_{avg,j} - \frac{2}{M} \sum_{n \in \mathbf{n}_{j}} w_{n},$$
$$\min\{QP_{avg,j} + \frac{2}{M} \sum_{n \in \mathbf{n}_{j}} w_{n}, QP_{j}\}\},$$
(18)

which is adaptive to the average weight of each LCU. Recall that M is the number of pixels in each LCU. As observed from (18), for an LCU, the growth of its average weight can lead to a broader QP boundary. Since the average weight of each LCU in facial regions is greater than that in background, its QP boundary is broader than that for the background, thereby further improving the visual quality in facial regions. Similarly, visual quality improvement in regions of mouth and eyes (that have larger average weights) may be greater than other facial regions.

One example for the map of QPs output by our scheme is demonstrated in Fig. 9. We can observe from this figure that eyes and mouth have the smallest QPs, followed by other facial regions, and the background has the largest QPs. Such QP results show that our weight-based URQ scheme is adaptive to the HP model. Specifically, small QPs of the facial regions, in particular the eyes and mouth, can generate more actual bits, leading to better visual quality. Of course, due to the large QPs, the visual quality in the background is worse, but it is indifferent in light of the HVS.



Fig. 9. Example of QPs at LCU level for the video frame of Fig. 1-(b), output by our weight-based URQ scheme. They are obtained using the weight map of Fig. 5. Each block stands for a 64×64 block, except the blocks of the last row. The intensities of each block indicate the QP values.

VI. EXPERIMENTAL RESULTS

In this section, experiments were performed on six test video sequences to validate the proposed approach on conversational HEVC coding. Here, we used the HM 9.0 software [28] with its default pixel-based URQ scheme [29] as the conventional HEVC approach. In our approach, the proposed adaptive CTU partition structure (presented in Section IV) and weight-based URQ rate control scheme (described in Section V) were implemented into HM 9.0.

A. The Test Video Sequences and Parameter Selections

We carried out the experiments on two conversational video sequences at CIF resolution (352×288) : *Akiyo* and *Foreman*, and four conversational video sequences at 1080p HD resolution (1920 × 1080): *Yan*, *Simo*, *Lee*, and *Couple*. Note that *Lee* and *Couple* were captured in dark room with poor illumination, in order to validate the robustness of our approach, in terms of the accuracy of the facial feature detection as well as the coding performance.

Since there is no standard 1080p HD conversational video, we obtained four raw conversational video sequences at 1920×1080 resolution with 150 frames, using a Sony XDCAM-PDW-700 camera. In such a camera, PowerHAD FX CCDs, featuring a signal processing ASIC with 14-bit A/D converters, enabled the capture of very high quality images. After video capture, camera data, with 1080p HD video clips at frame rate of 25 fps, were stored in MXF-format files. Afterwards, with audio component being removed, we cut each video clip to 150 frames, and then converted them into color images in BMP format with RGB components (8 bits per component). Finally, all the BMP images were assembled into a video sequence in YUV format with 4:2:0 sampling. The video sequences are freely downloadable in http://www.ee.buaa.edu.cn/xumfiles, and they may have potential to facilitate the future research on HD conversional video processing. Here, we show the four HD video sequences, namely Yan, Simo, Lee, and Couple, in Figs. 1-(b) and 10. Moreover, in our approach, we used the automatic extraction method of Section III-B to obtain the regions of face and facial features. Examples of the extraction results are shown in Figs. 1 and 10.

In our experiments, we used the typical parameter settings of HM 9.0, as tabulated in Table I. Furthermore, the first frame is compressed only using intra-prediction as the I-frame, and then the following frames are inter-coded as P frames. For I-frame, the QP of each LCU was set to 32 by default. For P-frames,



Fig. 10. First row: Original conversational video sequences of *Simo*, *Lee* and *Couple* (only showing one random frame) with the resolution 1920×1080 . Second row: Extracted faces of the conversational video sequences of the first row. Third row: Extracted facial features of the conversational video sequences of the first row. (a) *Simo*, (b) *Lee*, (c) *Couple*.



Fig. 11. Complexity-distortion curves of compressing video sequence *Yan* with our approach at 100 kbps. (a) Whole, background, and facial regions, (b) Facial features.

TABLE I The Parameters for Video Coding

Total number of frames	150 frames
Frame rate	25 fps
GOP size	4 frame
LCU size	64×64 pixels
Maximum LCU depth	3
Search Range for ME	64 pixels
SAO	Enabled

the pixel-based URQ scheme [29] was applied to compute the QPs in the conventional approach. In our approach, the proposed weight-based URQ scheme was implemented to obtain the QPs of P-frames at unit level.

In our approach, we empirically set $(\sigma_i)^2 = \sqrt{m_i}$ for refining weight map by (3), where m_i is the number of pixels belonging to the *i*th facial feature. Besides, in our weight-based URQ scheme, parameter *c* of (15) balances the trade-off of visual quality between ROI and non-ROI regions. In our experiments, it was tuned to be 5 in our experiments to generally yield the satisfactory results, which mean the adequate improvement of visual quality in face with acceptable reduction of visual quality in background. Such a setting of c was verified to be effective by the rate-distortion performance evaluation of Section VI-C and the subjective quality evaluation of VI-D. Thresholds θ_1 and θ_2 of the proposed adaptive CTU partition structure were chosen to be 1 and 2, respectively, in light of the following experimental analysis. For more details about the threshold setting, see the next subsection.

B. Encoding Time Evaluation

As claimed in Section IV, our approach with the novel adaptive CTU partition structure is capable of reducing the encoding complexity of HEVC. Therefore, it is worth evaluating the encoding time of our approach. The distortion-complexity curves of our approach on encoding video sequence *Yan* at 100 kbps are plotted in Fig. 11, by modifying thresholds θ_1 and θ_2 . Note

Video Sequences	Akiyo			Foreman						
Bit-rates (kbps)		40	60	80	100	40	60	80	100	120
Encoding time reduction (%)	19.4	22.1	23.8	20.0	20.6	22.2	22.1	21.5	21.8	22.9
Average Y-PSNR improvement in face (dB)	1.15	1.32	1.43	1.59	1.61	1.13	1.43	1.51	1.38	1.16

 TABLE III

 ENCODING TIME REDUCTION OF OUR APPROACH OVER CONVENTIONAL HM 9.0 APPROACH ON HD VIDEO SEQUENCES

Video Sequences	Yan					Simo					
Bit-rates (kbps)	100	200	300	500	1000	100	200	300	500	1000	
Encoding time reduction (%)	54.0	54.5	53.4	51.8	53.0	58.7	57.1	56.0	54.8	53.8	
Average Y-PSNR improvement in face (dB)	3.52	3.61	3.25	2.97	3.81	1.60	1.99	2.34	2.73	3.35	
Video Sequences			Lee					Couple			
Video Sequences Bit-rates (kbps)	100	200	<i>Lee</i> 300	500	1000	100	200	Couple 300	500	1000	
Video Sequences Bit-rates (kbps) Encoding time reduction (%)	100 62.8	200 59.4	<i>Lee</i> 300 57.5	500 56.8	1000 53.7	100 57.8	200 54.6	Couple 300 56.7	500 56.2	1000 52.3	

that the values in the horizontal axis are normalized encoding time. From this figure, we can see that along with the increasing encoding time, the average Y-PSNR of the whole region of decoded video enhances slightly. However, the quality enhancement in the regions of face/facial features almost stops once the normalized time arrives at 0.46, in which the corresponding settings of θ_1 and θ_2 are 1 and 2, respectively. This phenomenon is possibly due to the fact that the maximum LCU depths of facial regions almost reach the largest value (i.e., 3) in such settings. Therefore, in the subsequent experiments, we set thresholds θ_1 and θ_2 to 1 and 2, respectively, for reducing the encoding time as far as possible while ensuring the visual quality of facial regions.

The aim of our adaptive CTU partition structure is to reduce encoding time of HEVC, while guaranteeing the visual quality of facial regions. Obviously, such an aim is achieved as reported in Tables II and III. Note that in our approach the encoding time drops a lot, but the visual quality in facial regions are still increased. More details about the visual quality improvement are to be presented in the next subsection. Furthermore, it can be found out from Tables II and III that our approach is able to save the encoding time by up to 23.8% at CIF resolution and 62.8% at 1080p HD resolution. The greater reduction of encoding time in the HD video sequences is possibly owing to the fact that the HD video sequences have larger smooth regions with smaller maximum LCU depths, in comparison with the CIF video sequences. The improvement of distortion-complexity performance is really beneficial to HEVC for HD video applications, which consume far more time than other low resolution videos.

C. Rate-Distortion Performance Evaluation

In this subsection, we move to the comparisons of rate-distortion performance between our and the conventional HEVC (HM 9.0) approaches on six test video sequences, including two CIF videos: *Akiyo* and *Foreman* and four 1080p HD videos: *Yan*, *Simo*, *Lee*, and *couple*.

First, Fig. 12 plots the rate-distortion curves of our and HM 9.0 approaches in face, background, and whole regions. It can be observed from Fig. 12 that our approach outperforms HM 9.0 at various bit-rates in terms of average Y-PSNR of the facial

region, with even less encoding time (see Tables II and III). As the cost, the rate-distortion performance of background may be reduced. However, as we have mentioned before, thanks to the HVS, most of our attentions are concentrated on the face (i.e., ROI region), while little eyesight stays in the background (i.e., non-ROI region) long. Consequently, the degraded distortion in background cannot set off any huge tempest for the integral perceived visual quality.

Furthermore, Fig. 13 shows the rate-distortion performance of our and HM 9.0 approaches, in the regions of face and facial features, i.e., nose, mouth, and eyes. Clearly, the experimental results in this figure illustrate that the average Y-PSNRs of the face and facial features are significantly enhanced at various bit-rates. Besides, the rate-distortion improvement of HD video sequences in the regions of mouth and eyes is rather greater than that in the region of face. As a result, the overall perceived visual quality can be further refined, agreeing with the HVS. The experimental results of Fig. 13 thus reveal the effectiveness of the proposed HP model and its corresponding weight-based URQ scheme, for the rate control in HEVC.

At last, we compare the experimental results of our and HM 9.0 approaches, from the aspect of perceived visual quality. Fig. 14 demonstrates the 110th reconstructed frame of *Foreman* compressed at 40 kbps, and Fig. 15 shows the 20th reconstructed frame of *Yan* compressed at 100 kbps. As expected, our approach is capable of yielding more favorable visual quality with sharper edges and less blurred texture in the facial region, especially in the regions of facial features. Accordingly, the average Y-PSNRs in these regions have been significantly improved. As the cost, the average Y-PSNRs in the background are decreased, caused by two factors: (1) our adaptive CTU partition structure and (2) the proposed weight-based URQ scheme. It, however, has little negative effects on the perceived visual quality of the whole video, since the reduction of visual quality outside facial region is almost unnoticeable.

D. Subjective Quality Evaluation

It is worth investigating the performance of our approach by means of perceptually relevant metric. In this subsection, we thus focus on the experiments of subjective quality evaluation



Fig. 12. Rate-distortion performance comparison for face, background and whole regions, between the conventional HM 9.0 and our approaches, on compressing six conversational video sequences. (a) *Akiyo* (CIF), (b) *Foreman* (CIF), (c) *Yan* (1080p HD), (d) *Simo* (1080p HD), (e) *Lee* (1080p HD), (f) *Couple* (1080p HD).

on all the video sequences compressed by our and the conventional HM 9.0 approaches at different bit-rates.

Here, we adopted a single stimulus continuous quality scale (SSCQS) procedure, proposed by Rec. ITU-R BT.500 [34], to rate the subjective quality. The experiment we conducted was divided into two sessions. The first session included only CIF test video sequences: *Akiyo* and *Foreman*, while the second one was comprised of HD test sequences: *Yan, Simo, Lee,* and *Couple*. Note that the uncompressed and compressed video sequences in each session were displayed in a random order. Before each session, the observers were required to view 5 other training videos (one training video per quality scale) to help them better understand the subjective quality assessment. 12 observers (4 females and 8 males), aging from 20 to 45, were involved in this test. We used a 23" DELL U2312HM LCD mon-

itor with its resolution being 1920×1080 to display the videos. The viewing distance was set to be approximately three times of the video height for rational evaluation. The quality rate scales for observers to evaluate after viewing are: excellent (100-81), good (80-61), fair (60-41), poor (40-21), and bad (20-1).

After the subjective evaluation, we computed Difference Mean Opinion Scores (DMOS), indicating the visual difference between the compressed and uncompressed videos. The smaller the value of DMOS is, the better subjective quality the compressed video sequence has. Then, Table IV compares the average DMOS values of all compressed video sequences. From this table, we can see that the DMOS values of our approach are rather smaller than those of the conventional HM 9.0 approach. In other words, our approach can provide higher subjective video quality, especially for HD videos at relatively low bit-rates.



Fig. 13. Rate-distortion performance comparison for the regions of face and facial features, between the conventional HM 9.0 and our approaches, on compressing six conversational video sequences. (a) Akiyo (CIF), (b) Foreman (CIF), (c) Yan (1080p HD), (d) Simo (1080p HD), (e) Lee (1080p HD), (f) Couple (1080p HD).



Fig. 14. Visual quality comparison of *Foreman* (CIF resolution). (a) and (b) show its 110th decoded frames compressed at 40 kbps by our and HM 9.0 approaches, respectively. In (a), the average Y-PSNRs of the background, face, mouth, eyes and nose in HM 9.0 are 31.19 dB, 30.42 dB, 26.22 dB, 26.98 dB and 30.47 dB. In (b), the average Y-PSNRs of the background, face, mouth, eyes and nose in our approach are 30.07 dB, 31.55 dB, 27.34 dB, 28.52 dB and 31.71 dB. (a) HM 9.0, (b) Our approach.

In summary, our subjective results here, together with the previous objective results reported in Sections VI-B and VI-C, illustrate that our approach on conversational HEVC coding performs better in terms of both encoding time and perceived visual quality.



Fig. 15. Visual quality comparison of *Yan* (1080p HD resolution). (a) and (b) show its 20th decoded frames compressed at 100 kbps by our and HM 9.0 approaches, respectively. In (a), the average Y-PSNRs of the background, face, mouth, eyes and nose in HM 9.0 are 37.86 dB, 33.64 dB, 26.15 dB, 29.22 dB and 32.00 dB. In (b), the average Y-PSNRs of the background, face, mouth, eyes and nose in our approach are 37.33 dB, 37.16 dB, 31.65 dB, 33.72 dB and 35.26 dB. (a) HM 9.0, (b) Our approach.

 TABLE IV

 DMOS COMPARISON OF OUR AND CONVENTIONAL HM 9.0 APPROACHES

Sequences	Resolution	Bit-rates (kbps)	HM 9.0 DMOS	Our DMOS	DMOS Difference
Akiyo	352×288	20	59.06	50.16	-8.90
		40	34.66	23.45	-11.21
Foreman	352×288	60	73.44	62.93	-10.51
		80	57.62	43.71	-13.91
Yan	1920×1080	100	71.88	46.15	-25.73
		300	46.22	31.46	-14.76
Simo	1920×1080	100	71.63	57.73	-13.90
		300	54.35	38.16	-16.19
Lee	1920×1080	100	67.23	40.62	-26.61
		300	45.41	29.15	-16.26
Couple	1920×1080	100	73.78	46.41	-27.37
		300	47.39	28.16	-19.23

VII. CONCLUSION

In this paper, we have proposed an ROI-based perceptual video coding approach, for improving the perceived visual quality of conversational videos on the HEVC platform. It was argued that in conversational HEVC coding, the rate-distortion performance of some important subregions inside an ROI region (i.e., mouth and eyes in a face) is inferior to other ROI subregions. Therefore, in contrast with the previous perceptual video coding approaches, our approach endows the unequal importance within the facial region to emphasize its facial features, by proposing a perception model called HP model. Benefiting from the HP model, an ROI-based adaptive CTU partition structure was developed to reduce the encoding complexity of HEVC, while maintaining the visual quality in the facial region, especially in the regions of facial features. Furthermore, we proposed a weight-based URQ scheme, instead of the previous pixel-based URQ scheme in HEVC, to adaptively assign bits according to the HP model. This way, the visual quality of face and facial features, in conversational HEVC coding, is enhanced to varying degrees in accordance with

their importance weights, thereby greatly improving the overall perceived visual quality. Finally, the experimental results demonstrated that our approach considerably outperforms the conventional HEVC approach, in terms of both encoding time and perceived visual quality, for conversational video coding.

Our work in its present form merely focuses on the rate control scheme at LCU level. Therefore, it is hard to significantly improve the visual quality of facial features for the videos at low resolutions, due to the fact that the sizes of facial features may be even smaller than the sizes of LCUs. On the other hand, the rate control at CU level, in keeping with the flexible CTU partition structure of HEVC, provides a promising trend for the future work.

REFERENCES

- G. Sullivan, J. Ohm, W. Han, and T. Wiegand, "Overview of the high efficiency video coding (HEVC) standard," *IEEE Trans. Circuits Syst. Video Technol.*, vol. 22, no. 12, pp. 1649–1668, Dec. 2012.
- [2] T. Wiegand, G. Sullivan, G. Bjontegaard, and A. Luthra, "Overview of the H.264/AVC video coding standard," *IEEE Trans. Circuits Syst. Video Technol.*, vol. 13, no. 7, pp. 560–576, Jul. 2003.

- [3] B. Wandell, Foundations of Vision. Sunderland, MA, USA: Sinauer, 1995.
- [4] J. Lee and T. Ebrahimi, "Perceptual video compression: A survey," *IEEE J. Sel. Topics Signal Process.*, vol. 6, no. 6, pp. 684–697, Oct. 2012.
- [5] S. Lee and A. C. Bovik, "Fast algorithms for foveated video processing," *IEEE Trans. Circuits Syst. Video Technol.*, vol. 13, no. 2, pp. 149–161, Feb. 2003.
- [6] X. Yang, W. Lin, Z. Lu, X. Lin, S. Rahardja, E. Ong, and S. Yao, "Rate control for videophone using local perceptual cues," *IEEE Trans. Circuits Syst. Video Technol.*, vol. 15, no. 4, pp. 496–507, Apr. 2005.
- [7] Y. Liu, Z. G. Li, and Y. C. Soh, "Region-of-interest based resource allocation for conversational video communication of H.264/AVC," *IEEE Trans. Circuits Syst. Video Technol.*, vol. 18, no. 1, pp. 134–139, Jan. 2008.
- [8] R.-L. Hsu, M. Abdel-Mottaleb, and A. Jain, "Face detection in color images," *IEEE Trans. Pattern Anal. Mach. Intell.*, vol. 24, no. 5, pp. 696–706, May 2002.
- [9] J. Saragihand, S. S. Lucey, and J. Cohn, "Face alignment through sub-space constrained mean-shifts," in *Proc. ICCV*, 2009, pp. 1034–1041.
 [10] P. Kortum and W. Geisler, "Implementation of a foveated image
- [10] P. Kortum and W. Geisler, "Implementation of a foveated image coding system for bandwidth reduction of video images," *Proc. SPIE*, vol. 2657, pp. 350–360, 1996.
- [11] U. Rauschenbach and H. Schumann, "Demand-driven image transmission with levels of detail and region s of interest," *Comptuer Graphics*, vol. 23, no. 6, pp. 857–866, June 1999.
- [12] S. Lee, A. C. Bovik, and Y. Kim, "Low delay foveated visual communications over wireless channels," in *Proc. ICIP*, 1999, pp. 90–94.
- [13] L. Itti, "Automatic foveation for video compression using a neurobiological model of visual attention," *IEEE Trans. Image Process.*, vol. 13, no. 10, pp. 1304–1318, Oct.. 2004.
- [14] T. Liu, N. Zheng, W. Ding, and Z. Yuan, "Video attention: Learning to detect a salient object sequence," in *Proc. ICPR*, 2008.
- [15] Z. Li, S. Qin, and L. Itti, "Visual attention guided bit allocation in video compression," *Image Vis. Comput.*, vol. 29, no. 1, pp. 1–14, Jan. 2011.
- [16] A. Cavallaro, O. Steiger, and T. Ebrahimi, "Semantic video analysis for adaptive content delivery and automatic description," *IEEE Trans. Circuits Syst. Video Technol.*, vol. 15, no. 10, pp. 1200–1209, Oct. 2005.
- [17] L. Itti, C. Koch, and E. Niebur, "A model of saliency-based visual attention for rapid scene analysis," *IEEE Trans. Pattern Anal. Mach. Intell.*, vol. 20, no. 11, pp. 1254–1259, Nov. 1998.
- [18] O. Hershler and S. Hochstein, "At first sight: A high-level pop out effects for faces," Vis. Res., vol. 45, no. 13, pp. 1707–1724, 2005.
- [19] D. Chai and K. N. Ngan, "Face segmentation using skin-color map in videophone applications," *IEEE Trans. Circuits Syst. Video Technol.*, vol. 9, no. 4, pp. 551–564, Jun. 1999.
- [20] M.-C. Chia, M.-J. Chena, C.-H. Yehb, and J.-A. Jhua, "Region-of-interest video coding based on rate and distortion variations for H.263+," *Signal Process.: Image Commun.*, vol. 23, no. 2, pp. 127–142, Feb. 2008.
- [21] G.-L. Wu, Y.-J. Fu, and S.-Y. Chien, "Region-based perceptual quality regulable bit allocation and rate control for video coding applications," in *Proc. VCIP*, 2012.
- [22] S. Z. Li and A. K. Jain, Handbook of Face Recognition. New York, NY, USA: Springer, 2011.
- [23] G. Boccignone, A. Marcelli, P. Napoletano, G. D. Fiore, G. Iacovoni, and S. Morsa, "Bayesian integration of face and low-level cues for foveated video coding," *IEEE Trans. Circuits Syst. Video Technol.*, vol. 18, no. 12, pp. 1727–1740, Dec. 2008.
- [24] J.-S. Lee, F. D. Simone, and T. Ebrahimi, "Video coding based on audiovisual attention," in *Proc. ICME*, 2009.
- [25] M. Nystrom and K. Holmqvist, "Effect of compressed offline foveated video on viewing behavior and subjective quality," ACM Trans. Multimedia Comput., vol. 6, no. 1, pp. 1–14, Jan. 2010.
- [26] C.-W. Tang, "Spatiotemporal visual considerations for video coding," *IEEE Trans. Multimedia*, vol. 9, no. 2, pp. 231–238, Apr. 2007.
- [27] J.-S. Lee, F. D. Simone, and T. Ebrahimi, "Efficient video coding based on audio-visual focus of attention," *J. Vis. Commun. Image Represent.*, vol. 24, no. 8, pp. 704–711, Nov. 2011.
- [28] JCT-VC, HM 9.0, [Online]. Available: http://hevc.hhi.fraunhofer.de/
- [29] H. Choi, J. Yoo, J. Nam, D. Sim, and I. V. Bajic, "Pixel-wise unified rate-quantization model for multi-level rate control," *IEEE J. Sel. Topics Signal Process.*, vol. 7, no. 6, pp. 1112–1123, Dec. 2013.
- Topics Signal Process., vol. 7, no. 6, pp. 1112–1123, Dec. 2013.
 [30] D. Comaniciu and P. Meer, "Mean shift: A robust approach toward feature space analysis," *IEEE Trans. Pattern Anal. Mach. Intell.*, vol. 24, no. 5, pp. 603–619, May 2002.

- [31] S. W. Janik, A. R. Wellens, M. L. Goldberg, and L. F. Dell'Osso, "Eyes as the center of focus in the visual examination of human faces," *Percept. Motor Skills*, vol. 47, no. 3, pp. 857–858, 1978.
- [32] M. T. Pourazad, C. Doutre, M. Azimi, and P. Nasiopoulos, "HEVC: The new gold standard for video compression: how does HEVC compare with H. 264/AVC?," *IEEE Consumer Electron. Mag.*, vol. 1, no. 3, pp. 36–46, Jul. 2012.
- [33] Y. Liu, Z. Li, and Y. C. Soh, "A novel rate control scheme for low delay video communication of H.264/AVC standard," *IEEE Trans. Circuits Syst. Video Technol.*, vol. 17, no. 1, pp. 68–78, Jan. 2007.
- [34] "Methodology for the subjective assessment of the quality of television pictures," ITU, Geneva, Switzerland, BT. 500-11, International Telecommunication Union, 2002, pp. 53–56.



Mai Xu (M'10) received the B.S. degree from Beihang University in 2003, the M.S. degree from Tsinghua University in 2006 and the Ph.D. degree from Imperial College London in 2010. From 2010–2012, he was working as a research fellow at the Electrical Engineering Department, Tsinghua University. Since Jan. 2013, he has been with Beihang University as an Associate Professor. His research interests mainly include visual communication and image processing. He has published more than 30 technical papers in international journals and conference proceedings.



Xin Deng (S'14) received her B.E. degree in electronic engineering from Beihang University, Beijing, China, in June 2013. She is currently a graduate student of Beihang University. During her study in Beihang University, she has won the National Scholarship of Chinese college students and the second prize of national mathematics competition. Her research interests include perceptual video coding and video quality metrics.



Shengxi Li (S'14) is now an undergraduate student in School of Electronic and Information Engineering, Beihang University (expected to obtain the B.S. degree in July 2014). He is admitted and will start as a graduate student in Beihang University. During his study, he was awarded the Beihang Gold Medal Honor in 2013, which is the highest honor in Beihang University during the undergraduate period. He was also awarded 15 scholarships, including National Scholarship, and nearly 20 competition prizes. His research interests include rate distortion theory and

perceptual video coding.



Zulin Wang (M'14) received the B.S. and M.S. degrees in electronic engineering from Beihang University, in 1986 and 1989, respectively. He also received his Ph.D. degree at the same university in 2000. He is currently the dean of school of electronic and information engineering, at Beihang University, Beijing, China. His research interests include image processing, video coding, high-speed signal processing, electromagnetic countermeasure, complex object test, and satellite communications technology. He is author or co-author of over 100

papers and holds 6 patents, as well as published 2 books in these fields. He has undertaken approximately 30 projects related to image/video coding, wireless communication, and etc. Now he has taught "image signal processing" course to undergraduates and "digital signal processing" course to postgraduates for nearly one decade. He is also the expert of China 863 program and the independent director of China Electronic Limited by Share Ltd.